PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER 23062

APPLICATION NO. IT know (See 37 CFR 1.5)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/DE2003/003693 07 November 2003 27 November 2002 TITLE OF INVENTION FED BATCH CULTURE METHOD FOR PROTEIN SECRETING CELLS APPLICANT(S) FOR DO/EO/US HOFFMANN-LA ROCHE INC. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. ~ The US has been elected (Article 31). V A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). ~ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🗹 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🗹 A preliminary amendment. 14 🗹 An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. 🗹 A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Return Postcard (showing all items submitted)

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. | | | | | ATTORNEY'S DOCKET NUMBER | |
|--|-----------------|---|----------------------------|------------|--------------------------|--------------|
| 10/535581 PCT/DE2003/003693 | | | | | 23062 | |
| The following fees have been submitted | | | | | CALCULATIONS | PTO USE ONLY |
| 21. 🔽 Bas | ic national fee | | | \$300 | | |
| 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | \$ | |
| 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | \$ | |
| TOTAL OF 21, 22 and 23 = | | | | | \$ | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | |
| Total Sheets Extra Sheets | | Number of each additional 50 or fraction thereof (round up to a whole number) | | | | |
| - 100 = | /50 = | = | | × \$250 \$ | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | | \$ | |
| CLAIMS | NUM | BER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 12 | - 20 = | 0 | x \$50 | \$ | |
| Independent clai | ms 1 | - 3 = | 0 | x \$200 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 | | | | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$ 300.00 | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | | |
| SUBTOTAL = | | | | | \$ | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | | \$ 300.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | | \$ 300.00 | |
| | | | | | Amount to be refunded: | \$ |
| <u> </u> | | | | | Amount to be charged: | \$ |
| a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | |
| b. Please charge my Deposit Account No. 08-2525 in the amount of \$ 300.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-2525 A duplicate copy of this sheet is enclosed. | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed | | | | | | |
| and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: | | | | | | |
| | | t6 Hone | | | | |
| George W. Johnston Hoffmann-La Roche inc. | | | DEPOSIT ACCOUNT SIGNATURE | | | |
| 340 Kingsland Street | | | NO. 08-2525 Robert P. Hoad | | В [/ | |
| Nutley, NJ 07110 Express Mail: OUR ORDER NO. 6/14 39712 | | | | | | |
| Date: REGISTRATI | | | | | ON NUMBER | |

JC14 Rec'd PCT/PTO 19 MAY 2005

PTO/SB92 (08-00)

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Certification under 37 CFR 1.10

I hereby certify that this form and all documents cited on this form are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number:

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Alexandria, VA 22313-1450

on May 19, 2005

Date

Marta Swaryczewsky

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Papers Submitted for Case Docket 23062: PCT Transmittal Letter, PCT Application, PCT Transmittal Form, Application Data Sheet, Specification, Declaration, Drawings, Information Disclosure Statement, Cited Art

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450





IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

In re National Phase Patent Application

Case Docket No. 23062

U.S. Application based on PCT/DE2003/003693 filed Nov. 27, 2002

Inventors: Link et al.

For: METHOD FOR CULTURING CELLS IN ORDER TO PRODUCE SUBSTANCES

TRANSMITTAL LETTER

Nutley, NJ 07110 May 19, 2005

Mail Stop: PCT **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please accept for filing under 35 U.S.C. § 371 the following documents:

- 1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. § 371 with authorization to charge Deposit Account No. 08-2525 (in duplicate).
- 2. Notification Concerning Submission or Transmittal of Priority Document
- 3. Notice Informing the Applicant of the Communication of the International Application to the Designated Offices.
- Preliminary Amendment. 4.
- 5. PCT Request (German)
- 6. Published International Application Number PCT/ DE2003/003693 (International Publication Number WO 2004/048556).
- 7. Notification of the Recording of a Change (PCT/IB/306)

Case Docket No. 23062 U.S. Application based of T/DE03/003693

- JC14 Rec'd PCT/PTO 19 MAY 2005
- 8. Information Disclosure Statement (with copy of reference B1)
- 9. PTO-1449 Form.
- 10. Application Data Sheet
- 11. English Language Translation of International Application.

Respectfully submitted,

Attorney for Applicant(s)

Robert P. Hoag (Reg. No. 39712)

Telephone: (973) 235-4453 Telefax: (973) 235-2363

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